

# VET Trainer and Assessor Qualifications, Competency and Industry Currency Procedure

## Section 1 - Preamble

(1) Charles Darwin University ('the University', 'CDU') is committed to ensuring vocational education and training (VET) students are trained, assessed, and supported by VET lecturers who are qualified, skilled, and committed to professional development. The qualifications and competence of VET lecturers directly impact the quality of the delivery.

(2) The [National Vocational Education and Training Regulator \(Outcome Standards for Registered Training Organisations\) Instrument 2025](#) (RTO Outcome Standards 2025) mandate specific requirements regarding VET trainer and/or assessor credentials, industry competency and currency, and ongoing professional development. The [RTO Credential Policy 2025](#) must be consulted for the latest VET trainer and/or assessor credential requirements.

## Section 2 - Purpose

(3) This procedure outlines the University's approach to:

- a. Ensuring that all VET trainers and assessors at the University have the qualifications and industry competencies, current skills, and knowledge as required by the [Australian Skills Quality Authority](#) (ASQA), under the [RTO Outcome Standards 2025](#);
- b. Ensuring VET lecturers undertake continuing professional development to:
  - i. maintain current skills and knowledge in training and assessment, including skills and knowledge relating to engaging and supporting VET students; and
  - ii. maintain an understanding of current industry practices relevant to the training and assessment delivery;
- c. Determining and implementing the appropriate level of direction for VET lecturers who do not currently hold the requisite credentials; and
- d. Confirming, documenting, and retaining evidence of qualifications and competencies for all VET lecturers, including industry experts.

## Section 3 - Scope

(4) This procedure applies to VET lecturers, regardless of employment category, who deliver accredited VET Training Products on the University's Scope of Registration, including

- a. Approved VET trainers and assessors engaged through a VET third-party delivery agreement.
- b. VET trainers under supervision (working under direction).
- c. Industry experts who deliver training under the direction of an appropriately credentialled VET trainer and/or assessor and/or who co-assess competency in conjunction with a CDU VET assessor.

(5) When delivering and/or assessing non-accredited training products, the suitability and calibre of the VET Trainer

should be confirmed by the relevant Team Leader and TAFE Quality.

## Section 4 - Procedure

### Credential rules

(6) To help maintain the integrity of credentials issued by CDU, the following restrictions and requirements are mandatory for CDU staff, in addition to the current moratorium on staff RPL as per the [VET Recognition Procedure](#):

- a. Staff may not enrol for training and assessment in a VET Training Product within their same team.
- b. Enrolment by a family member of the CDU staff member in the same team is permitted. However, the staff member must manage and declare the conflict of interest in accordance with the [Conflicts of Interest Policy](#) and [Conflicts of Interest Procedure](#). More information, including minimum requirements, can be found on the Waterhole.
- c. Staff are permitted to enrol for training and assessment in a VET Training Product outside of their team. However, the staff member must manage and declare the conflict of interest in accordance with the [Conflicts of Interest Policy](#) and [Conflicts of Interest Procedure](#). More information, including minimum requirements, can be found on the Waterhole.
- d. Exemption to clause (6) must be obtained in writing from the relevant Pro Vice-Chancellor. The application must include the risk mitigation strategies employed to protect the integrity of any grades or qualifications issued to CDU staff.

### VET Trainer and Assessor credentials

(7) Training and assessment at CDU can only be undertaken by VET lecturers who have:

- a. A training and assessment credential specified at Section 1A of the [RTO Credential Policy 2025](#) (see additional requirements regarding people who hold TAE40110, below); and
- b. Current industry competencies, skills, and knowledge directly relevant to, and at least to the level of, the training product being delivered or assessed; and
- c. Current knowledge and skills in vocational training and learning that inform their training and assessment.

(8) VET lecturers employed with TAE40110 must:

- a. evidence TAE currency within the last two years; or
- b. work under direction while they achieve TAE currency by completing a TAE skillset or the TAE40122 qualification.

(9) A credential held as per Section 1A must be evidenced by the academic transcript or record of results for the qualification.

(10) The approved higher-level qualifications are listed in the Curriculum Management System (CMS) in the VET Lecturer Competency Matrix template. The CMS systems team maintains the VET Lecturer Competency Matrix template and should be notified by the requestor when new credentials are mapped and approved to meet the above clause.

(11) The Australian Council of Deans of Education Vocational Education Group (ACDEVEG) maps higher-level qualification curricula to determine the suitability of a specific adult education qualification. Should a VET lecturer provide a credential not already included on the list, CDU may petition ACDEVEG to undertake the mapping on the VET lecturer's behalf. The request must be made by the Manager VET Workforce Capability, a TAFE Quality

Manager, or the Senior Regulatory Specialist - VET.

### **Assessor-only credentials**

(12) Where a staff member only conducts assessment (VET assessor), they must hold the VET lecturer credentials listed above or a credential listed at Section 1B of the [RTO Credential Policy 2025](#).

- a. VET lecturers employed with TAE40110 must:
  - i. evidence TAE currency within the last two years; or
  - ii. work under direction while they achieve TAE currency by completing a TAE skillset or the TAE40122 qualification.

(13) VET assessors must also hold the following skills, knowledge, and attributes:

- a. Current industry competencies, skills and knowledge directly relevant to, and at least to the level of, the training product being assessed; and
- b. Current knowledge and skills in vocational training and learning that inform their assessment.

(14) Assessor-only staff must be inducted into CDU systems, processes, and values. VET students must be aware of who their assessors are.

### **Trainers and/or assessors working under direction**

(15) A VET lecturer who does not have the credentials at Sections 1A and 1B of the [RTO Credential Policy 2025](#) may be a person who:

- a. is actively working towards one of the training and assessment credentials at section 1C; or
- b. has one of the training and assessment skill set credentials outlined at section 1D;
- c. is an industry expert without credentials; or
- d. holds the TAE40110 qualification and is working under direction while updating their TAE currency.

(16) In all cases, the person will be required to work under the direction of a VET lecturer who holds one of the relevant training and assessment credentials at section 1E.

(17) A person who is actively working towards a training and assessment credential can deliver training and contribute to assessment (including conducting assessment and collecting assessment evidence), provided they are:

- a. enrolled and actively working towards one of the training and assessment credentials at Section 1C.
- b. making satisfactory progress to enable the credential to be completed within two years of commencement.

(18) A person who holds any of the credentials listed in this Section 1D, can deliver training and contribute to assessment (including conducting assessment and collecting assessment evidence), but is not permitted to make assessment judgements. They must have one of the skill set credentials outlined at section 1D that is relevant to the training of the VET student cohort.

(19) An industry expert cannot deliver training or undertake assessment but can support training.

(20) All people working under direction must also have:

- a. current industry competencies, skills and knowledge directly relevant to, and at least to the level of, the training product being delivered or assessed; and
- b. Current knowledge and skills in vocational training and learning that inform their training and assessment.

(21) CDU reserves the right to require staff working under direction to complete their training and assessment credential within a shorter time frame as part of their probationary agreement.

(22) Prior written approval from the relevant Pro Vice-Chancellor is required to appoint VET trainers working under direction.

(23) The Deputy Chief Executives of CDU TAFE, the Director of the CDU Academy of the Arts, and the Dean of Nursing and Midwifery are accountable for ensuring that approved staff who do not hold the required VET trainer and assessor credentials as described in Sections 1A and 1B only deliver training under direction and that a VET Lecturer Working Under Direction Plan is completed.

(24) Supervisors of trainers working under direction are responsible for providing oversight, guidance, and quality assurance in respect of a person who does not have the full training and assessment credential to ensure the quality of training and assessment delivered by that person.

(25) Trainers working under direction must not determine assessment outcomes.

## **Planning for working under direction**

(26) Team Leaders must plan, implement, manage, and finalise the working under direction lifecycle, whether the person receiving direction is an industry expert, holds a relevant skill set, or is actively working towards a credential.

(27) Planning includes the following activities:

- a. Notify the Manager VET Workforce Capability that a working under direction plan is commencing.
- b. Negotiate and adjust the workload of the supervisor to accommodate the supervisory workload.
- c. Organise the trainer working under direction's training plan and schedules to accommodate the supervisor's role and determine any contingencies and budgetary implications.
- d. Arrange for a supervisor information session with the Manager VET Workforce Capability.
- e. Identify the logical milestones in the trainer working under direction's TAE training program for inclusion in the working under direction plan.
- f. Document the plan with the trainer working under direction and the Manager VET Workforce Capability in the VET Lecturer Working Under Direction Plan.
- g. Approve the trainer working under direction's documentation of the plan in their staff matrix in the CMS.
- h. Identify any conditions or restrictions considered necessary for the trainer working under direction's involvement in providing training and contributing to the collection of assessment evidence.
- i. Inform the supervisor of their obligations to the supervision plan and the trainer working under direction, any assessing requirements for students, and the reporting requirements.
- j. Document these details in the VET Lecturer Working Under Direction Plan and VET Lecturer Competency Matrix in the CMS. The information in the CMS describes the intent of the supervision; the plan records the supervision activities and outlines how the supervisor monitors and accounts for all training provisions and collection of assessment evidence by the trainer working under direction.

## **Conducting supervision**

(28) Supervisors must be experienced as VET lecturers. Should the supervisor also need to act as the assessor for the students that the trainer working under direction works with, the supervisor must be able to matrix the units for the purposes of assessment.

(29) A supervisor may supervise a maximum of two (2) trainers working under direction at once. Supervisors and trainers working under direction should be based at the same campus, but if not practicable:

- a. the supervisor should visit often; or
- b. the trainer working under direction can be supervised by more than one supervisor, with one based on the same campus as the trainer working under direction.

(30) Trainers working under direction can be enrolled in the TAE qualification at CDU.

- a. Trainers working under direction enrolled at CDU must permit their team leader and the Manager VET Workforce Capability access and visibility to their academic record in the student management system to monitor engagement and milestone success towards their TAE qualification. Permission is granted via the VET Lecturer Working Under Supervision Plan endorsement page.
- b. Trainers working under direction enrolled at CDU must only use the resources and assessments provided to them by their TAE trainer and/or assessor. They are not permitted to access CDU TAE resources, including the TAE team library via SharePoint. The TAE team library access permissions are managed by the VET team and the TAFE quality team to prevent access by CDU staff from outside the TAE team.

(31) The working under direction plan is reviewed by the team leader as part of the Development and Career Plan (CDP) process, and any changes made are recorded in the VET Lecturer Working Under Direction Plan and the VET Lecturer Competency Matrix in the CMS.

(32) Support for the team leader, the supervisor and the trainer working under direction is available from the Manager VET Workforce Capability, and information is available on the Teaching and Learning Connect [VET Trainers and Assessors SharePoint site](#).

## **Working under direction**

(33) There are three implementation phases to the trainer working under direction lifecycle plan:

- a. Phase one occurs within the first week of the plan or as soon as practicable. The trainer working under direction must attend an intensive session with the Manager VET Workforce Capability. The purpose of this intensive is to introduce the trainer working under direction to the middle-tier supports and systems available to them, such as TAFE Quality, VET specialists in Teaching and Learning Connect, Library liaison and language, literacy, and numeracy support staff, student services support staff, the Digital Learning Designer – VET, and the Learnline trainers and help desk team. The intensive session also orients the trainer working under direction to their obligations and expectations and results in the design of the working under direction plan.
- b. Phase two occurs in the initial period of supervision or the first three months, whichever is appropriate. During this period, the supervisor and the trainer working under direction work together according to the working under direction plan.
  - i. The trainer working under direction achieves the milestones agreed upon for their TAE progression or renegotiates if the contingency plan is required. The trainer working under direction participates in regular check-ins with the supervisor and records an operational narrative and reflection on their progress in the VET Lecturer Working Under Direction Plan. The trainer working under direction meets with the Team Leader, with support from the Manager VET Workforce Capability, as required to report progress and discuss any support needs and issues.
  - ii. The supervisor checks in with the trainer working under direction according to the plan and provides guidance and constructive feedback to the trainer working under direction. The supervisor connects the trainer working under direction with additional support as required.
  - iii. The supervisor and trainer working under direction identify when the trainer working under direction is capable or permitted to deliver training to students independently. This would typically be once they have completed the delivery units in the TAE training package.
- c. Phase three lasts for the remainder of the working under direction plan, until the trainer working under direction

has completed their TAE credential. This phase may include check-ins at a less regular frequency than phase two. Operational narratives and reflection by the trainer working under direction into the VET Lecturer Working Under Direction Plan are still required in phase three.

(34) Direct supervision of training should include a combination of the following:

- a. providing input into the preparation of training delivery and assessment.
- b. discussing strategies to support specific learners.
- c. assisting the trainer in locating appropriate resources.
- d. observing some training sessions and providing feedback.
- e. de-briefing after the training session.
- f. discussing internal review requirements.
- g. discussing assessment evidence-gathering techniques.
- h. participating in assessment validation activities.

(35) A minimum of three (3) supervision meetings per six-month period per Training Product duration is required and should cover a range of activities.

(36) Any amendments to the working under direction plan must be documented in the VET Lecturer Working Under Direction Plan and the VET Lecturer Competency Matrix in the CMS.

## **Completing training and working under direction**

(37) Once the TAE credential listed in Section 1C has been attained by the trainer working under direction, the VET Lecturer Working Under Direction Plan can be finalised:

- a. The trainer working under direction provides their certified TAE credential to their Team Leader, People and Culture, the Manager VET Workforce Capability and storing it in their Staff Skills Register.
- b. The trainer working under direction updates their VET Lecturer Competency Matrix in the CMS and seeks the team leader's approval.
- c. The supervisor reports to the team leader and the Manager VET Workforce Capability that supervision has ceased.

(38) The Manager VET Workforce Capability audits trainers working under direction by performing periodical checks, at least at the beginning of each teaching period:

- a. the staff matrix details;
- b. the VET Lecturer Working Under Direction Plans;
- c. the engagement and progression of trainer working under direction towards their TAE credential; and
- d. reporting of supervision tasks by the supervisor.

## **TAE Trainers and Assessors**

(39) VET lecturers who deliver any AQF qualification or skill set from the Training and Education Training Package (or its successor) other than those listed in Section 1A of the [RTO Credential Policy 2025](#) are not required to hold a TAE qualification higher than is listed in Section 1A.

(40) VET lecturers who deliver the training and assessment credentials specified in Section 2A of the [RTO Credential Policy 2025](#), and/or the Assessor Skill Set and/or the Teacher Enhancement Skill Set from the Training and Education Training Package (or its successor), must hold a higher training and assessment credential as specified in Section 2A.

(41) Trainers working under direction towards delivering the Certificate IV TAE qualifications listed in Section 1A must:

- a. Already hold this same Certificate IV TAE credential as per Section 1A; and
- b. Be under the supervision of someone who has the credentials listed in Section 2C; and
- c. Not determine assessment outcomes.

### **Industry experts**

(42) An industry expert who has relevant specialised industry or subject matter expertise can be engaged by CDU to:

- a. Support a trainer to deliver content.
- b. Provide input on competency assessment judgements.
- c. Contribute to assessment design and benchmark development.
- d. Contribute to pre- and post-assessment validation.

(43) Industry experts do not act alone but work under the direction of the VET lecturer; the students must understand clearly who their credentialed VET lecturer is.

(44) Industry experts must have industry competencies, skills, knowledge, and specialised industry or subject matter expertise that is directly relevant to the training product they are delivering.

(45) Evidence provided by the industry expert towards competency evidence is defined as supplementary evidence.

(46) Industry experts who do not hold credentials listed in Section 1D are not eligible to be a trainer working under direction and must not conduct assessments.

(47) Where an industry expert supports delivery and/or assessment, their involvement must be described in the training product's Training and Assessment Strategy (TAS).

### **Co-assessment**

(48) Industry experts may also contribute to formal co-assessment where they are involved in conducting assessment and making the assessment judgement with a VET lecturer. Co-assessment is typically done by the workplace supervisor when direct observation by the assessor is not permitted or practical due to legal, regulatory, privacy or safety reasons.

(49) The industry co-assessor must have industry competencies, skills, knowledge, and specialised industry or subject matter expertise that is directly relevant to the training product they are delivering, but they are not required to have the appropriate training and assessor competencies.

(50) When co-assessment is implemented, the arrangement must describe each party's relevant roles and responsibilities. The arrangement must be described in the TAS.

(51) The CDU co-assessor must still fully meet the VET lecturer credential requirements of the [RTO Credential Policy 2025](#) and clauses 3.3.2 (b) and (c) of the [RTO Outcome Standards 2025](#) themselves. Having a co-assessment arrangement does not exempt the CDU VET lecturer from having the vocational competencies at least to the AQF level being delivered and assessed, and the current industry skills directly relevant to the training and assessment. The CDU Co-Assessor must also include the units they are co-assessor for in their VET Lecturer Qualification and Experience Matrix Form (Third Party) and Professional Development (PD) Record form (Third Party).

(52) The judgement about whether competency has been achieved is contributed by both parties together, but the final assessment judgement rests with the CDU assessor. Thus, co-assessment does not constitute a third-party arrangement.

## Industry competency and currency

(53) Current industry competencies, skills, and knowledge are the requirements for VET lecturers and trainers working under direction to ensure that their training and assessment are based on current industry practices and meet the needs of the industry. The current industry skills must be:

- a. Consistent with the requirements of the training product they are training and/or assessing, and
- b. Consistent with the required skills for VET lecturers identified through industry engagement.

(54) Current industry skills may be informed by consultations with industry and may include, but are not limited to:

- a. Having knowledge of and/or experience using the latest techniques and processes.
- b. Possessing a high level of product knowledge.
- c. Understanding and knowledge of industry, employment, and workplace legislation.
- d. Being customer/client oriented.
- e. Possessing formal industry and training qualifications.

(55) VET lecturers must keep up to date with industry requirements. They must demonstrate how they have maintained, upgraded, or developed new skills relevant to the current industry needs. Currency may be maintained through a combination of the following activities:

- a. Regular exposure to workplaces (outside of workplace visits for the purposes of training and assessment).
- b. Participating in workplace tasks and projects.
- c. Participation in relevant professional development activities run by industry skills alliances.
- d. Attending conferences, professional workshops, and industry-specific development programs.
- e. Industry and professional association membership and participation.
- f. Undertaking specific training courses in new equipment or skill sets.
- g. Fulfilling industry licensing or regulatory requirements.
- h. Concurrent employment in relevant industry on a part-time or casual basis.
- i. Industry-based project work.

(56) The time-based measurement of industry currency varies by discipline and industry area. What constitutes current skills, knowledge, and attributes depends on the industry area and the tasks within the industry area in which they are found. For example, how a hand tool is used may not have changed for decades, so the currency is very long, or the conception of computer software like Word is more recent. A new technique within a job role may be very recent, such as cooking with a sous vide machine or infection control since COVID so the currency must match.

(57) Staff may identify their currency through a combination of means such as:

- a. Licensing and regulatory requirements.
- b. The time since a staff member first learned a skill that has not changed.
- c. The amount of time since the skill, knowledge or attribute was first implemented.
- d. The amount of time since a technique was first used.

(58) Some Training Packages or unit assessment conditions specify the type of industry experience deemed current and appropriate and a minimum duration for that experience; for example, most RII units require a minimum number of years by industry sectors and the AQF level for delivery. Where these requirements are present, trainers and/or assessors must meet the requirements specified.

(59) Holding a higher education qualification in the same field as the VET qualification that the VET lecturer provides



does not automatically meet lecturer competency and currency requirements. Staff who hold qualifications at AQF level 6 or above are not more qualified than AQF level 5 or below; they are differently qualified with different job role and scope of responsibility outcomes in the workplace. Staff with higher education qualifications must provide evidence of industry competency and currency for the units of competency in which they train and/or assess.

(60) Evidence of activities relating to industry currency must be documented and include dates, methods, and outcomes on the VET Lecturer Competency Matrix in the CMS for University employees or on the University Professional Development Record template for contractors and third-party providers.

(61) Further information regarding CDU's Return to Industry program is covered in Clause 82. Non-attendance Time and Return to Industry in the Charles Darwin University and Union Enterprise Agreement 2022.

### **Training and Assessment Currency**

(62) A VET lecturer who has completed any qualification or skill set from the Training and Education (TAE) Training Package must demonstrate current training, learning and assessment knowledge and skills. VET lecturers must develop knowledge and practice of training and assessment, including competency-based training and assessment competencies through continual professional development. This includes both formal and informal activities.

(63) Evidence of maintaining training and assessment currency must be documented on the VET Lecturer Competency Matrix in the CMS and, where relevant, uploaded to the Staff Skills Register. Professional development (PD) may include but is not limited to the following:

- a. Formal training in vocational industry and/or adult vocational education and training fields.
- b. Participating in validation activities.
- c. Acting as a mentor or mentee in a formal mentoring arrangement.
- d. Engagement in professional learning network activities such as industry-based CPD or Communities of Practice.
- e. Participating in informal but structured PD activities such as micro-learning or micro-credentials.
- f. Attending or presenting at conferences.

### **Undertaking professional development in vocational training, learning and assessment**

(64) Each VET lecturer must undertake professional development that contributes to demonstrating vocational training, learning, and assessment requirements. Defined and agreed professional development activities are documented annually through the Development and Career Plan (CDP).

(65) Evidence of professional development activities must be recorded and include dates, the provider, the activity, and outcomes in the PD Record section of the VET Lecturer Competency Matrix in the CMS. VET lecturers must also provide evidence of participation in professional development, such as certificates or conference registration, to their Team Leader.

- a. Third-party staff cannot access the CMS and use only the Professional Development (PD) Record Form (Third Party).

### **Documenting and storage of VET lecturer credentials and competencies**

(66) Team Leaders are responsible for:

- a. Reviewing and approving the initial VET Lecturer Competency Matrix in the CMS prior to class commencing. This includes confirmation that sufficient evidence has been sighted and verified in accordance with the [RTO Outcome Standards 2025](#); and
- b. Forwarding certified copies of certification documentation to People and Culture.

(67) Supervisors of VET lecturers without a TAE are responsible for ensuring that:

- a. the VET Lecturer Competency Matrix is updated by the VET Trainer and Assessor before each six-monthly review; and
- b. a discussion occurs regarding qualifications and knowledge/skills developed and attained.

(68) VET lecturers are responsible for:

- a. Informing their Team Leader of any change to their qualifications to ensure details of qualifications remain current.
- b. Updating their currency on the VET Lecturer Competency Matrix in the CMS. Third-party VET Trainer and Assessor documentation is kept in the Staff Skills Register.
- c. Uploading correctly scanned documents to the Staff Skills Register.
- d. Providing copies of certification documentation (testamur, statement of attainment or academic transcript) to Team Leader.

## **Staff Skills Register**

(69) Each VET Team maintains a Staff Skills Register on the TAFE SharePoint site, storing the evidence of VET Trainer and/or Assessor qualifications, certificates, licenses, or credentials.

(70) Working under direction plans and update reports are also kept in the Staff Skills Register.

(71) Records of staff ochre cards, police clearance records, and return to industry are kept on the Staff Skills Register.

(72) The following folder hierarchy is used in the Staff Skills Register:

- a. Licences.
- b. PD Certificates.
- c. Qualifications.
- d. Resume.
- e. Return to Industry.
- f. Working under direction plan (if applicable).

(73) Documentation relating to staff credentials and competencies must be retained in accordance with the [Records and Information Management Policy and Procedure](#) and its associated [Retention and Disposal Schedules](#).

## **VET Lecturer Competency Matrix**

(74) VET lecturers must complete a VET Lecturer Competency Matrix in the Curriculum Management System (CMS) at the commencement of employment, and it must be approved by the Team Leader prior to commencing with students. The staff matrix must be updated whenever there is a change to the units the VET lecturer works in, or they achieve further professional development or annually.

- a. Third-party staff cannot access the CMS and use only the VET Lecturer Qualification and Experience Matrix Form (Third Party).

(75) Staff who train and/or assess in multiple teams can use the Word document or nominate a main team leader to approve their staff matrix in the CMS on each Team Leader's behalf.

(76) The VET Lecturer Competency Matrix details information about:

- a. Training and assessment competence:
  - i. Training and assessing qualifications or an adult education credential.
  - ii. Trainers working under direction information (if applicable).
- b. TAE Training and assessment qualifications or skill sets (if applicable).
- c. Vocational competence:
  - i. Vocational qualifications/licences/formal training/ higher education qualifications.
  - ii. Relevant employment history, industry experience/training, current appointments, and supplementary information.
- d. VET lecturer competency to train and/or assess units of competency:
  - i. Identifying qualifications, skill sets and VTPs under which you delivery units.
  - ii. Mapping of the units of competency delivered against qualifications (vocational competency) and industry experience.
- e. Professional Development (PD) record detailing ongoing PD activities in relevant industry areas as well as knowledge and practice of vocational training, learning and assessment, including competency-based training and assessment.
- f. Declaration and confirmation:
  - i. Declaration by the VET lecturer.
  - ii. Verification and approval by the Team Leader.
- g. Process instructions for amending your matrix.

## **Professional Development Record**

(77) The Professional Development Record form in the VET Lecturer Competency Matrix in the CMS is used to record ongoing professional development activities throughout the year. The Professional Development Record is updated regularly and should include detail towards the updating of:

- a. Vocational competencies;
- b. Industry knowledge and skills to ensure currency in relevant industry; and
- c. VET knowledge and skills development, including competency-based training and assessment.

(78) For both sections, VET lecturers should record development activities, and include dates and evidence on the activities undertaken. This includes development activities such as attaining a formal qualification or units of competency, but does not include CDU system training.

(79) Evidence of professional development is only required for the most recent three-year period to demonstrate currency.

(80) The PD record should be updated each time professional development occurs.

## **Working under direction plans**

(81) Initial working under direction plans are documented in the CMS VET Lecturer Competency Matrix, and then implemented via the VET Lecturer Working Under Direction Plan Word document.

(82) Third-party staff cannot access the CMS and use only the VET Lecturer Working Under Direction Plan Word document, and the relevant Team Leader signs for approval.

(83) VET Lecturer Working Under Direction Plan Word documents are stored in Staff Skills Register.

## Evidence and verification

(84) VET lecturers must provide evidence of their training and assessment qualifications and required competencies before commencing delivery of training and/or assessment of VET training products. This includes but is not limited to VET lecturers employed on a continuing, fixed-term, casual, or sessional basis or engaged under third-party arrangements. People and Culture retains this information. VET lecturers must also upload certified copies of this information to the Staff Skills Register before the end of any probationary period.

(85) VET lecturer credentials and certificates used to demonstrate competency and currency in vocation and education areas must be certified evidence. Information about how to certify documents can be found at the Northern Territory government's [Proving your identity](#) page.

- a. CDU-issued credentials do not require certification.

(86) All VET lecturers involved in VET delivery must ensure that the qualifications and competency outlined in the VET Lecturer Competency Matrix are accurate, regularly evidenced, and updated.

(87) VET Team Leaders are responsible for taking appropriate steps to verify the information presented by existing and newly recruited VET lecturers. This is applicable to both existing VET lecturers and new recruits. Evidence needs to be kept demonstrating how the information was appropriately verified. This may include:

- a. Sighting and verifying certification documentation (testamur, statement of attainment or transcript of results), i.e. contacting the issuing institution from which the qualification or statement of attainment was obtained and checking a transcript has been confirmed as a true and valid copy, and
- b. Conducting referee checks at the time of employment to confirm relevant industry experience.

(88) Evidence may include:

- a. Testamur for evidence of qualification. The VET lecturer is qualified either by having completed the relevant qualification or competency as part of a formal course of study or via an RPL assessment process.
- b. Transcript of results for evidence of equivalence of qualification. The VET lecturer may provide evidence of completion of a vocational qualification or competency via a transcript of results from a different qualification in the same field, mapped against specific units that they are training and/or assessing.
- c. Verified evidence such as position descriptions, official letters from previous employers, licenses, or registrations. The VET lecturer may provide evidence of current vocational competency by providing verified evidence of performance /recent industry experience mapped against specific units they are training and/or assessing.
- d. A Unique Student Identifier transcript that shows the unit code and title and the issuing RTO via the following means:
  - i. An original pdf transcript from the Unique Student Identifier (USI) database downloaded and submitted by the student, which includes the provided digital security.
  - ii. An original pdf extract from the USI database that includes a link and password or QR code for limited-time access to the student's database to verify the unit code and title and issuing RTO listing.

## Section 5 - Non-Compliance

(89) Non-compliance with Governance Documents is considered a breach of the [Code of Conduct - Employees](#) or the [Code of Conduct - Students](#), as applicable, and is treated seriously by the University. Reports of concerns about non-compliance will be managed in accordance with the applicable disciplinary procedures outlined in the [Charles Darwin University and Union Enterprise Agreement 2025](#) and the [Code of Conduct - Students](#).

(90) Complaints may be raised in accordance with the [Complaints and Grievance Policy and Procedure - Employees](#) and [Complaints Policy - Students](#).

(91) All staff members have an individual responsibility to raise any suspicion, allegation or report of fraud or corruption in accordance with the [Fraud and Corruption Control Policy](#) and [Whistleblower Reporting \(Improper Conduct\) Procedure](#).

## Status and Details

<b>Status</b>	Current
<b>Effective Date</b>	1st July 2025
<b>Review Date</b>	30th June 2028
<b>Approval Authority</b>	Academic Board
<b>Approval Date</b>	5th June 2025
<b>Expiry Date</b>	Not Applicable
<b>Responsible Executive</b>	Fiona Coulson Provost
<b>Implementation Officer</b>	Fiona Coulson Provost
<b>Enquiries Contact</b>	Ruttiya McElroy Executive Officer

## Glossary Terms and Definitions

**"Development and Career Plan"** - The Development and Career Plan (DCP) is the annual staff development and career planning process at the University

**"University"** - Charles Darwin University, a body corporate established under section 4 of the Charles Darwin University Act 2003. The University is comprised of the various faculties, CDU TAFE, organisational units, and formal committees, including the governing University Council and Academic Board.

**"VET lecturer"** - an employee of the University or a third-party partner who is a trainer and/or assessor for vocational education and training (VET) units of competency. VET lecturers include VET Team Leaders and higher education lecturers training or assessing in VET units.